

PATENT 0505-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Christopher KEITH

Filed:

March 8, 2001

Art Unit:

2165

Examiner:

Serial No.:

09/801,848

Confirmation No.:

1156

Title:

PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, DC 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on Form PTO 1449, a copy of which is enclosed, may be pertinent to the above-referenced application and are made of record to assist the Patent Office in its examination of this application. The Examiner is requested to fully consider the items and independently ascertain their teaching.

No fee is due since this Information Disclosure Statement is being filed

before the mailing date of a first Office Action on the merits. [x]

Respectfully submitted.

Date: June 26, 2002

260 West 52 St. Ste. 27B New York, NY 10019 voice/fax 212 245-3940

Reg. No. 36,894



Substitute Form PTO 1449

Application No.	09/801,848	
Filing Date	March 8, 2001	
Inventor	Christopher Keith	
Group Art Unit	2165	
Examiner	TBA	
Atty. Docket No.	0505-4002	
	Filing Date Inventor Group Art Unit Examiner	

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Initials	No.	Office	Number	Kind Code	or Assignee	MM-DD-YYYY	text or Figs.	Trsl.
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CERTIFICATE OF MAILING

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GROUP 3600

I hereby certify that the following attached paper(s) and/or fee

- 1. Information Disclosure Statement
- 2. PTO Form 1449
- 3. Copies of 1 reference
- 4. Return receipt postcard

are being deposited with the United States Postal Service with sufficient postage as first class mail under 37 C.F.R. § 1.8 on the date indicated below in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: June 26, 2002

D i D

Brenda Pomerance

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